

Diagnostic safety

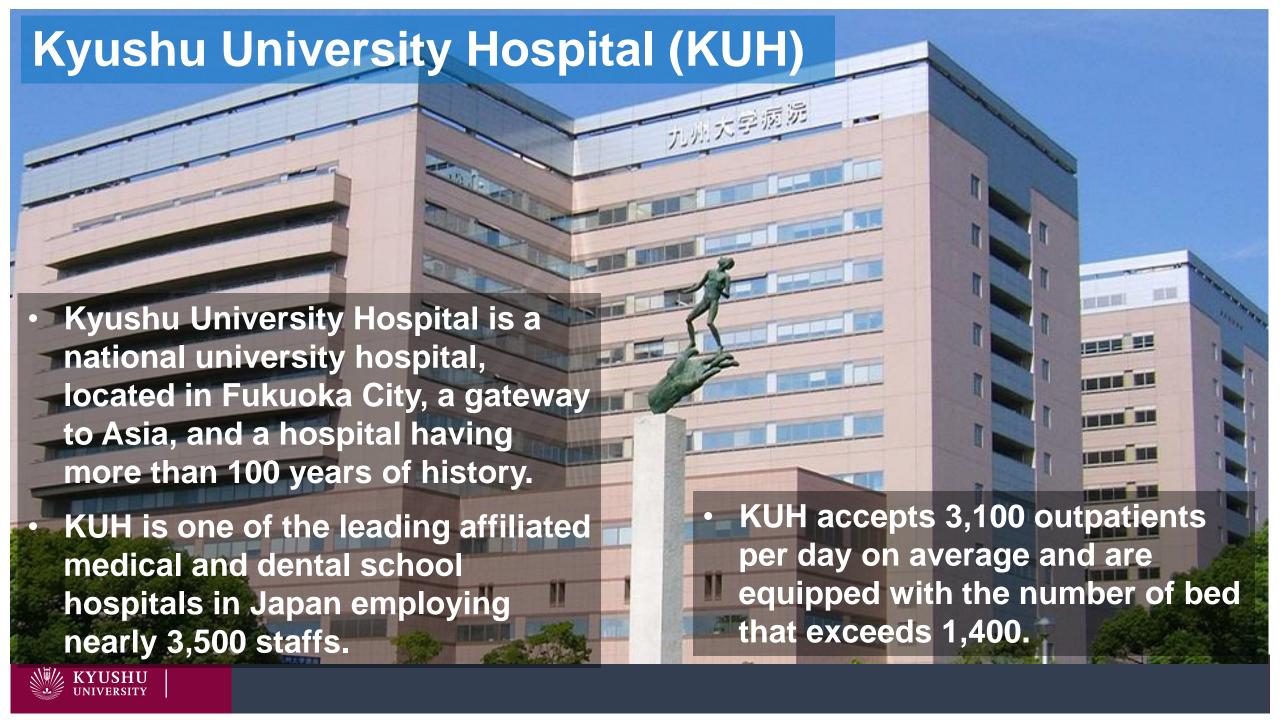
System /Team-based approach for improvement

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Kyushu University Hospital (KUH)
Japan Council for Quality Health Care (JQ)
Accreditation Council Member,
International Society for Quality in
Healthcare (ISQua)







Project line-up of JQ on Quality and Safety Improvement

Hospital Accreditation

Patient Safety Promotion Group of Accredited Hospitals

Education and Training Program on Quality and Safety

EBM Medical Information (Clinical guidelines) Distribution Project (Minds)

Nationwide Adverse Events Reporting System of Medical Instutions

Nationwide Near-miss Event Reporting System of Community Pharmacy

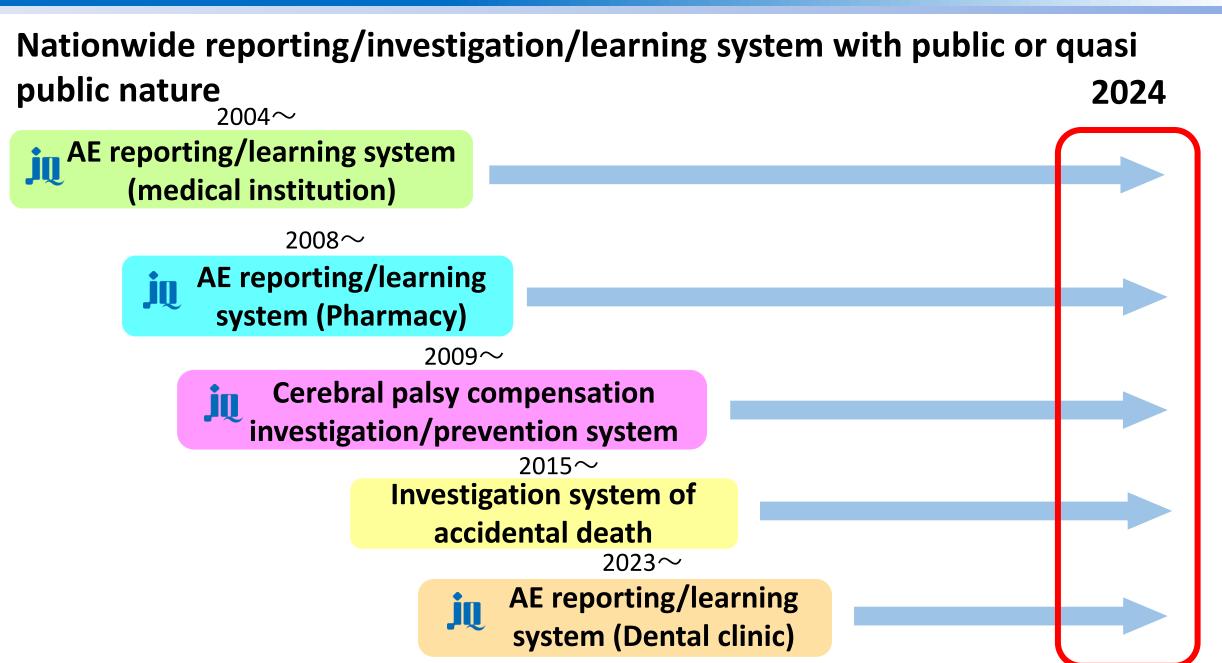
The Japan Obstetric Compensation/Investigation and Prevention System for Cerebral Palsy

National Quality Indicator (QI) Measurement Project

CBT* platform and contents development for medical education * Computer-based Testing

Financial support program for Covid-19-contrcted healthcare professional

Patient representatives participate in the operation of most projects.

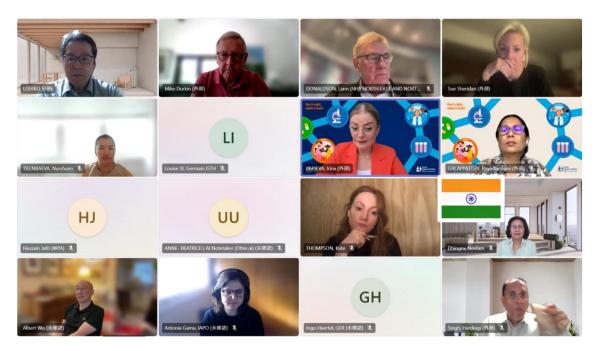


Themes of WPSD 2019-2024





WHO World Patient Safety Day 2024 Steering Committee, 29th Aug 2024



Agenda

- Opening speech by Sir Liam
 Donaldson, WHO Patient Safety Envoy
- Draft literature review on diagnostic safety
- Progress report on World Patient Safety Day e.g. campaign materials, light-up
- Discussion
- WHO WPSD 2024 Global Consultation Meeting on 10th-12th September



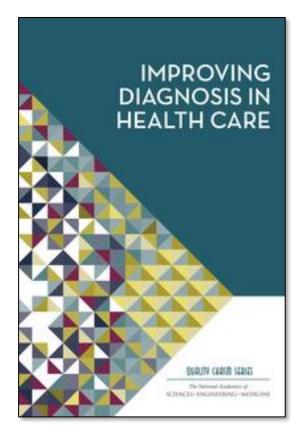
Report and Textbook on Diagnostic Safety

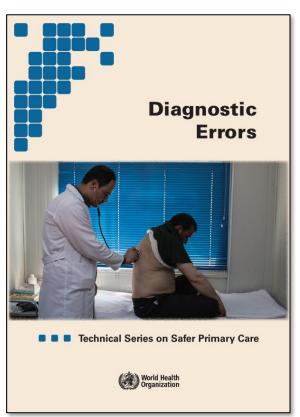
2015

2016

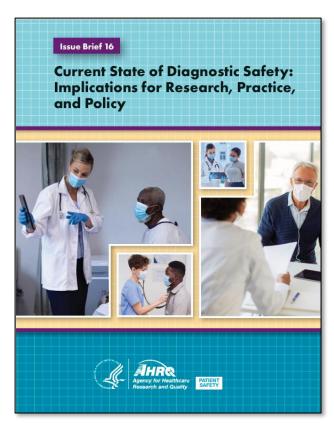
2021

2024









* "Learning of diagnostic error"

Definition of Diagnostic Error

Definition	Defined by
A diagnosis that was unintentionally delayed (sufficient information was available earlier), wrong (another diagnosis was made before the correct one), or missed (no diagnosis was ever made), as judged from the eventual appreciation of more definitive information.	Graber, et al. (2005)
Any mistake or failure in the diagnostic process leading to a misdiagnosis, a missed diagnosis, or a delayed diagnosis. This could include any failure in timely access to care; elicitation or interpretation of symptoms, signs, or laboratory results; formulation and weighing of differential diagnosis; and timely follow-up and specialty referral or evaluation.	Schiff, et al. (2009)
Missed opportunities to make a correct or timely diagnosis based on the available evidence, regardless of patient harm.	Singh, et al. (2014)
The failure to: (a) establish an accurate and timely explanation of the patient's health problem(s) or (b) communicate that explanation to the patient.	National Academy of Medicine (NAM) report (2015)



Information to be collected Diagnostic process Clinical history interview Physical exam. Diagnostic testing Referral and consultation for obtaining further 200 sufficient information been information Clinical Physical History and **Patient Patient** Interview Exam **Engages with** Communication Experiences **Treatment** Outcomes a Health Health Care of the Diagnosis Referral and Diagnostic Problem System INFORMATION GATHERING Consultation Testing The explanation of The planned path of Patient and the health problem care based on the System Outcomes that is communicated diagnosis Learning from to the patient diagnostic errors, near misses, and accurate, timely diagnoses Outcome Patient outcome System outcome

FIGURE S-1 The diagnostic process.



TIME

The outcome from the diagnostic process

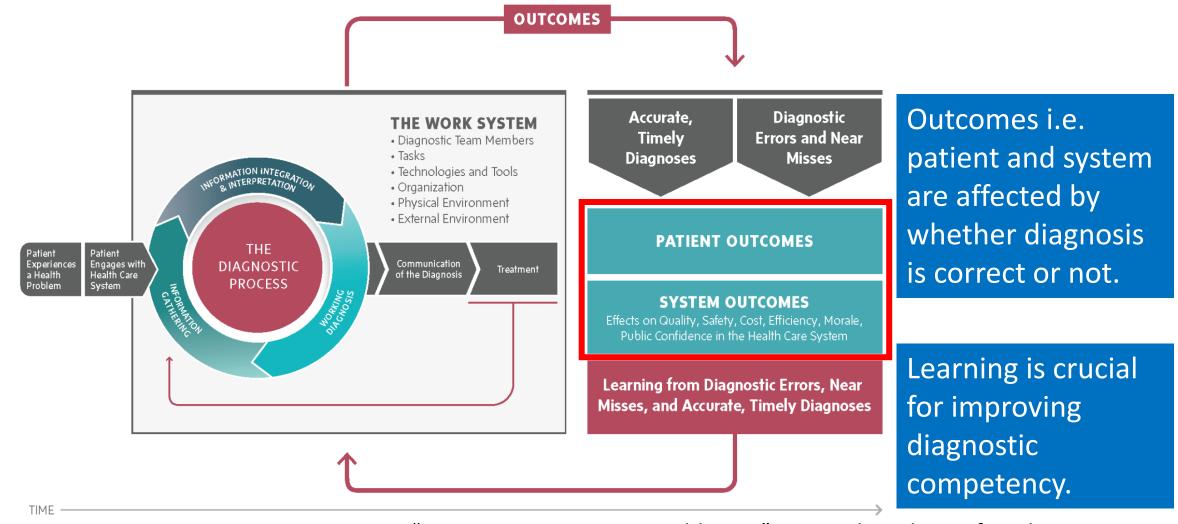


FIGURE S-3 The outcomes from the diagnostic process. "Improving Diagnosis in Health Care", National Academy of Medicine, US 2015

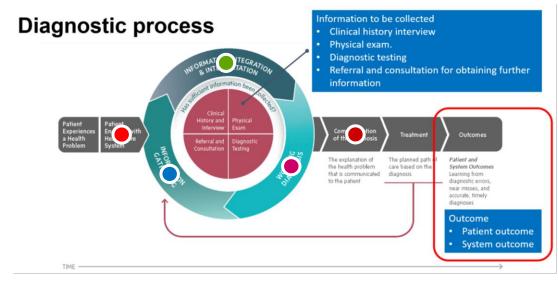


Where in the diagnostic process failure occurred

Failure to engage in the health care system or in the diagnostic process



- Failure in information gathering
- Failure in information integration
- Failure in information interpretation



- Failure to establish an explanation (diagnosis)
- Failure to communicate the explanation to the patient

"Improving Diagnosis in Health Care", National Academy of Medicine, US 2015



Disease susceptible to diagnostic error

- Data about the most common conditions involved in diagnostic errors are mainly from high-income countries. A systematic review identified diagnostic difficulties and errors in primary care among people with cancer, heart attack, meningitis, dementia, iron deficiency anaemia, asthma, tremor in the elderly and human immunodeficiency virus (HIV).*
- A study of 190 cases of diagnostic errors found that errors were common in patients with pneumonia (7%), decompensated congestive heart failure (7%), acute renal failure (5%), cancer (5%) and urinary tract infection (5%).**
- Another study identified errors most commonly involving infections, trauma and malignant neoplasms.
- Overall, it appears that missed cancer, infections and cardiovascular disease comprise the leading categories of harmful diagnostic errors in primary care. Each of these is explored briefly in turn.
 - * Kostopoulou O, Delaney BC, Munro CW. Diagnostic difficulty and error in primary care a systematic review. Fam Pract. 2008;25(6):400-13. 2
 - ** Singh H, Giardina TD, Meyer AND, Forjuoh SN, Reis MD, Thomas EJ. Types and origins of diagnostic errors in primary care settings. JAMA Intern Med. 2013;173(6):418-25.

"Diagnostic errors_Technical series of primary care" WHO 2016



Diagnostic dilemma/challenges

Where in the diagnostic process failure occurred Failure to engage in the health care system or in the diagnostic process Failure in information gathering Failure in information integration Failure in information interpretation Failure to establish an explanation (diagnosis) Failure to communicate the explanation to the patient

Disease susceptible to diagnostic error

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"Diagnostic errors_Technical series of primary care" WHO 2016



Diagnostic error happen in ordinary practices and settings.



KYUSHU

Why diagnostic error happens? - causes of diagnostic errors

Factors

Possible issues contributing to error

Access to high quality

Limited access due to lack of money, remoteness, illiteracy, travel constraints or a limited number of health care facilities.

Availability of health care professionals and specialists

Lack of sufficient, competent health care professionals, for example, due to lack of training, outward migration or a poor employment situation.

Specialty expertise may not exist or may be limited in number or quality.

Teamwork

Poor teamwork, lack of learning and feedback when errors occur.

Availability of diagnostic tests

Diagnostic tests limited in scope, availability or quality.

Causes of diagnostic errors (Cont'd)

Factors	Possible issues contributing to error
Communication	Little or no sharing of medical information.
Care coordination	Consultations delayed or test results lost or a lack of health

Limited follow-up reduces the ability for diagnostic impressions Follow-up to evolve.

records documenting care.

Care unaffordable or compromises other basic needs such as Affordability of care food or housing.

> Training is suboptimal, in particular lack of training for clinical reasoning; certification and licensure requirements are deficient.

> > "Diagnostic errors Technical series of primary care" WHO 2016

Training of health care providers

Causes of diagnostic errors (Cont'd)

Factors

Possible issues contributing to error

Availability of health informatics resources

Health informatics resources, including internet access, may not be available, especially in remote areas; unaffordable subscription or download fees for medical information.

Culture

Some cultures may be punitive, which discourage sharing and inhibit learning; physician-centric systems limit the value of the team. Patients may feel it is more appropriate to be passive care recipients.

Human factors and cognitive issues

Cognitive issues such as "bias" may lead too early diagnosis, premature diagnosis, groundless diagnosis and more erroneous outcomes.

"Diagnostic errors_Technical series of primary care" WHO 2016



Relevant biases to cause diagnostic error

Premature closure

Early conclusion of diagnosis unless necessary exams are carried out thoroughly.

Patient underwent sudden lumber pain for the first time. The physician diagnosed the patient with "Ureterolithiasis (Ureteral stone) without performing ultrasonography and CT scan.

Confirmation bias

Focusing only on clinical findings of the physician's concern.

Physician predicts that ureterolithiasis is likely as diagnosis. He/She favored that urine test revealed hematuria, while ignoring findings of neither dilated ureter nor hydronephrosis in ultrasonography. He/She clung to the notion that the lack of those findings is not enough to reconsider the diagnosis.

"Learning of diagnostic error" NIKKEI Medical 2021

Relevant biases (Cont'd)

Base rate neglect

Intentional estimation of high-likelihood of the diagnosis regardless of the evidence-based incidence.

Physician considers the diagnosis "Pulmonary embolism" most likely for a patient at the age 20 suffering sudden and mild chest pain without any similar symptom in clinical history.

Availability heuristic* Prioritization of a diagnosis which the physician has frequently diagnose patients with.

Physician instantly diagnose a patient with influenza infection whom he/she sees first, while he/she has seen massive patients contracted with influenza virus in epidemic winter season.

*heuristic: Instinctive decision-making, judgement and assessment instead of those conducted logically.

"Learning of diagnostic error" NIKKEI Medical 2021



Relevant biases (Cont'd)

Overconfidence

Strong conviction on knowledge beyond actual knowledge level and early conclusion without adequate information gathering and integration

Physician cannot provide rationale to periodical fever syndrome of the patient, however, the physician concludes that the it is cause by "familial Mediterranean fever" and commences treatment without conducting any test or examination for other diagnoses.

"Learning of diagnostic error" NIKKEI Medical 2021



Potential solutions to diagnostic errors

I. Direct solutions;

- Improving education and skills
- Empowering and Engaging patients/families
- Improving health systems
- Improving testing i.e. access, ordering and processing, result management, closing the loop
 of entire testing processes
- Health information technology

II. Indirect solutions;

- Learning from errors e.g. learning of biases and generate measures to reduce them
- Further research/closing knowledge gap; sources of data, globally joint action, measurement of diagnostic error, diversity in diagnostic settings i.e. well known in areas as primary care, pediatric care, not known in other areas as surgery, psychiatry and psychology.

"Current State of Diagnostic Safety: Implications for Research, Practice, and Policy " AHRQ US 2024 "Diagnostic errors Technical series of primary care" WHO 2016



Collective actions at all levels to address "Delayed diagnosis"

Institutional level

- Team-based approach
- Engaging patient/family

National level

- National reporting and learning system
- National Investigation system
- No-fault compensation system
- Hospital group i.e. leading hospital approach



Case 1: **Delayed Diagnosis** of Hepatitis B Virus Reactivation -Institutional level approach





2019.11.14 ASAHI SHIMBUN (Newspaper)

0代男生が化二適切な治療せず

Patient in his 70s died at "AICHI Cancer Center" because he was not provided with appropriate care.

- The patient was diagnosed with malignant lymphoma. He turned to be positive for HBV.
- He underwent chemotherapy during Jul to Oct 2019, while no test was conducted to see if HBV is reactivated.
- The patient finally suffered HVB hepatitis and died due to liver failure.
- The vice president said, "There was no systematic response to the reactivation".

Overview of the nationwide adverse event reporting/learning system (2004 -)

Annual/Quart

erly report

医毒塞州特赖约集器事業

Adverse event

Hospitals (Mandatory)

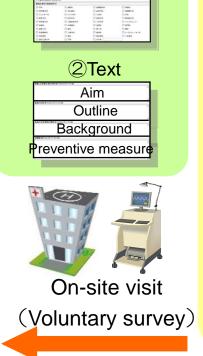
-University Hospitals -National Hospitals etc.

Hospitals (Voluntary)

Near-miss

Hospitals (Voluntary)





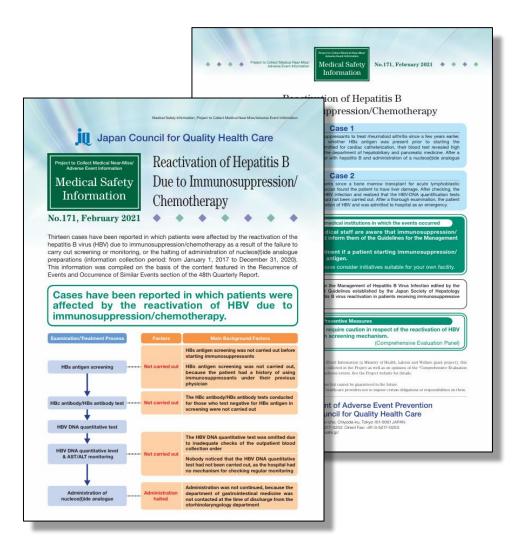


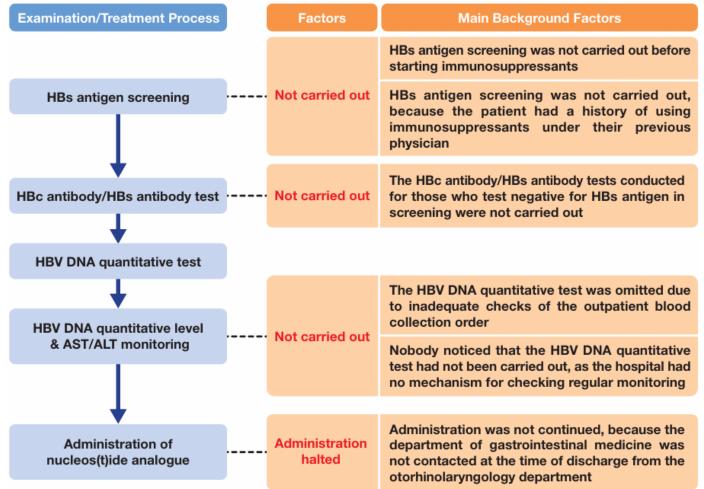
X 1パイアル中 100単位





JQ's Monthly Alert: No.171, Reactivation of Hepatitis B Due to Immunosuppression / Chemotherapy, Feb 2021





HBV reactivation case in Kyushu University Hospital (KUH)

- The patient underwent living-donor kidney transplantation. Blood group was matched between donor and the patient.
- Prior to the surgery, the patient proved to be high-risk because Flow Cytometry Cross-matching (FCXM) unveiled that recipient plasma contains anti-donor lymphocyte antibody against both T-cell and B-cell. It was known that immunosuppressant therapy after surgery was difficult in the presence of the anti-donor lymphocyte antibody. Therefore, the patient underwent plasma exchange and administration of Ritsuxan® for immunosuppression. In addition, the patient began to take such immunosuppression as Graceptor [®] capsule, Cellcept [®] capsule, Medrolr [®] tablet and Simulect i.v. injection two weeks before the surgery and later.



HBV reactivation case in Kyushu University Hospital (KUH)(cont'd)

- Hepatitis B blood test in advance of the surgery revealed that;
 - HBsAg (hepatitis B surface antigen); NEGATIVE
 - HBcAb or anti-HBc (hepatitis B core antibody); POSITIVE
 - HBV-DNA; NEGATIVE



Past infection and no current reactivation of HBV

 Clinical course after surgery was smooth with three immunosuppressants as Graceptor "capsule, Cellcept" capsule, Medrolr tablet. The patient visited hospital on weekly basis and underwent HBV blood test until four months after surgery. Physician in charge then made the visit interval longer to two weeks. Eight months after surgery, the blood test revealed elevated liver enzymes such as AST 79 and ALT 104. Additional test unveiled HBsAg; POSITIVE and HBcAb; POSITIVE, HBV-DNA; AVOBE UPPER LIMIT. The patient was urgently admitted to hospital due to probable "de novo reactivation of Hepatitis B virus".



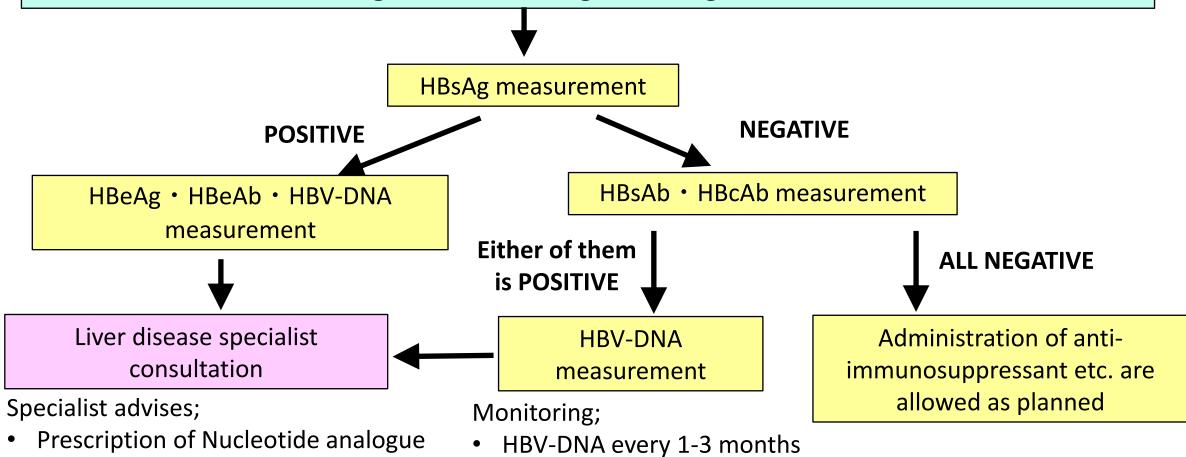
Launch of the team for prophylactic action to "de novo HBV reactivation"

- The hospital director took the lead in launching a team to tackle with this issue.
- It is joined by experts of;
 - a. Liver disease,
 - b. Laboratory medicine, i.e. physician and technicians trained over hepatitis through prefectural education system under the Comprehensive Hepatitis Control Act.
 - c. Health informatics and electronic health record,
 - d. Patient safety e.g. physician, pharmacist in the division of patient safety,



Development of clinical management frow on "de novo HBV reactivation control"

Plan for administering anti-immunosuppressant, anti-cancer drug, anti-rheumatic drug, molecular-targeted drug et cetera.



AST/ALT every 1-3 months

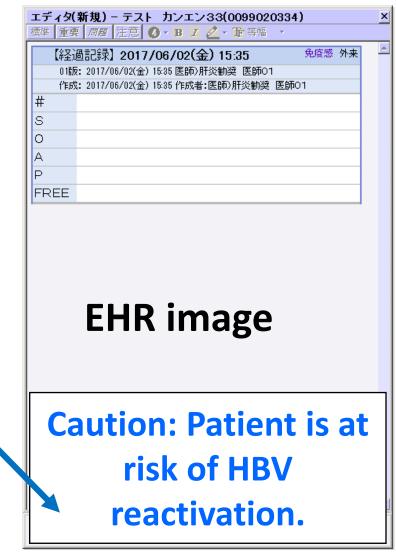
Laboratory test and Interval for

monitoring

Development of "Alerting system" for positive viral marker on

EHR (2017-)

- Message appears when physician opens the patient's medical chart in question.
- Message "Caution: Patient is at risk of HBV reactivation." pops up when either HBs Ag, HBs Ab or HBc Ab turn positive.
- The massage is removed when the latest test result(s) turn negative.

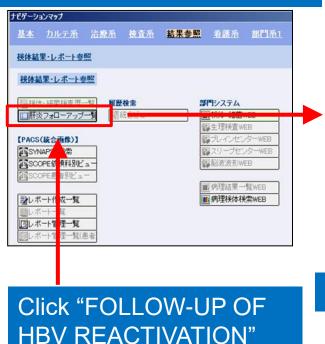


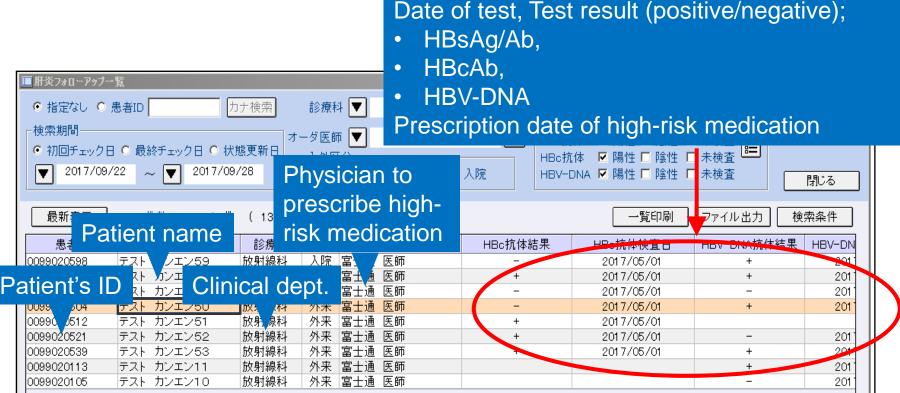


Development of listing table of patients treated with high-risk medication on EMR (2018-)

Navigation (Dashboard) > VIEW TEST RESULTS > TEST RESULTS & TERS REPORT > FOLLOW-UP OF HBV REACTIVATION

EHR view (Dashboard)







Staff education and training on de novo HBV reactivation control through E-learning system

I. Essential subject line ("MUST LEARN")

 Patient safety in Kyushu University Hospitals – outlining patient safety manual

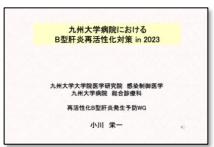
II. Selective subject lines (at least one lecture to take)

- De novo HBV reactivation control
- VTE Prevention
- Response to disputable incident
- Delirium prevention
- Medication safety
- Lessons of recent incidents



















Enlightening and engaging patient/family on sharing test/exam results

検査のあとは、 結果を聞き、診断を受けましょう

あなたに関する、大切な情報です。

検査後は、受診してください。

どうしても来院できない場合は、ご相談ください。







より専門的な診断が必要な検査では 結果が出るまでに数日かかることもあります。



九州大学病院 医療安全管理委員会 2018年9月

"Please be mindful of your test/exam results and ask your doctor about it."

"Test/examination results not issued today is vital information for you. Please visit us again for being informed of it, otherwise, please call us on the phone."

"It would take days to get result of highly specialized test/exam."

Relation to general knowledge on diagnostic error of specific incident: "HBV reactivation"

- Where in the diagnostic process failure occurred
 - a. Insufficient information gathering
 - b. Failure in information integration
 - c. Failure in information interpretation
- Causes of diagnostic error
 - a. Teamwork
 - b. Care coordination
 - c. Lack of training provider
 - d. Availability of health informatics resources
 - e. Human factors and cognitive issues: Overconfidence e.g. "Transplantation group have not only surgical skill but knowledge on care and follow-up of patient with previous history of HBV infection."





Case 2: **Delayed Diagnosis of** Cancer due to Failed **Confirmation of Imaging Report** - National level /Hospital group approach

千葉大病院

CT imaging reports mentioned to "Cancer".

The physician in charge ignored cancer in organs that he/she did not specialize in.

Nine similar cases including two fatal cases were verified through internal investigation.

Preventive measures should be in place in expedited manner.

Jun 09, **2018** YOMIURI SHIMBUN (Newspaper)

Thematic analysis

「画像診断報告書の確認不足」 (医療安全情報 No. 63

【2】「画像診断報告書の確認不足」(医療安全情報 No. 63)

再発・類似事例の分析

画像診断報告書の内容が伝達されなかった事例について、第26回報告書(2011年9月公表 において、画像診断報告書を見なかった事例と画像診断報告書の記載内容を見落とした事例に大別し て分析を行った。その後、医療安全情報 No. 63 (2012年2月提供:集計期間:2008年1月 ~2011年12月)では「画像診断報告書の確認不足」として、画像検査を行った際、画像診断報 告書が報告されているにもかかわらず内容を確認しなかったため、想定していなかった診断に気付かず、 治療の遅れを生じた可能性のある事例について取り上げた。さらに、第40回報告書(2015年 3月公表)の「再発・類似事例の発生状況」において、医療安全情報 No. 63「画像診断報告書の確認不足 について、新たに報告された事例を紹介し、画像検査の目的と画像診断報告書を確認していなかった 背景・専因について取りまとめた。

今回、本報告書分析対象期間(2017年7月~9月)においても類似の事例が13件報告された ため、再び取り上げることとした。第40回報告書の集計期間後の2015年1月以降に報告された 再発・類似事例は32件であった (図表Ⅲ-3-4)。

図表III - 3 - 4 「画像診断報告書の確認不足」の報告件数

	1~3月 (件)	4~6月 (件)	7~9月 (件)	10~12月 (件)	合計 (件)
2015年	3	2	2	4	1.1
2016年	0	0	3	1	4
2017年	2	2	13	77.0	17

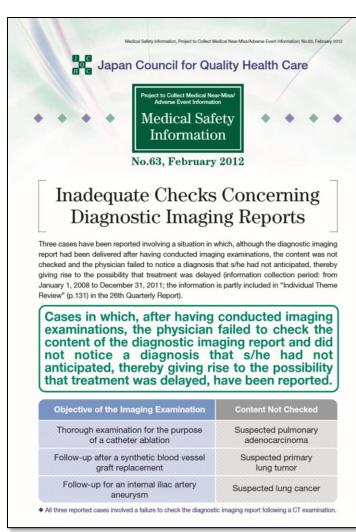
図表III - 3 - 5 医療安全情報 No. 6 3 「画像診断報告書の確認不足」

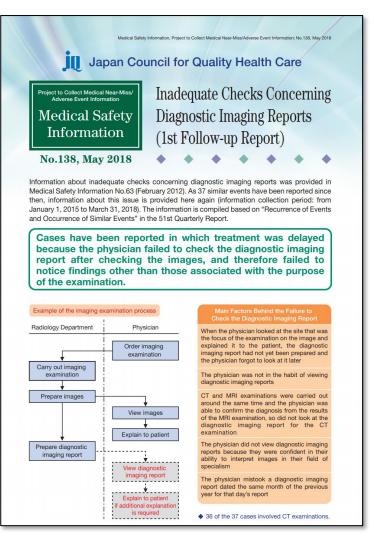




医療事故情報収集等事業 第51回報告書

Monthly Alert (2012, 2018)





Preventative action by University Hospital Group

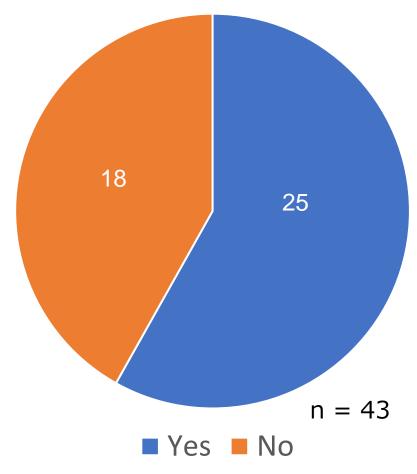
Japan National University Hospital Alliance (JANUHA, Chair; Tokyo Medical and Dental University Hospital, Members; 45 National University Hospitals) carried out fact-finding survey; i) and ii) and conducted iii) in relation to physician's failure of confirming

radiological imaging report;

- i. Questionnaire survey in 2017
- ii. On-site interim survey program in 2017
- iii. On-site survey program in 2021



Q; "Is physician in charge reminded of a new imaging report issued by radiologist?"



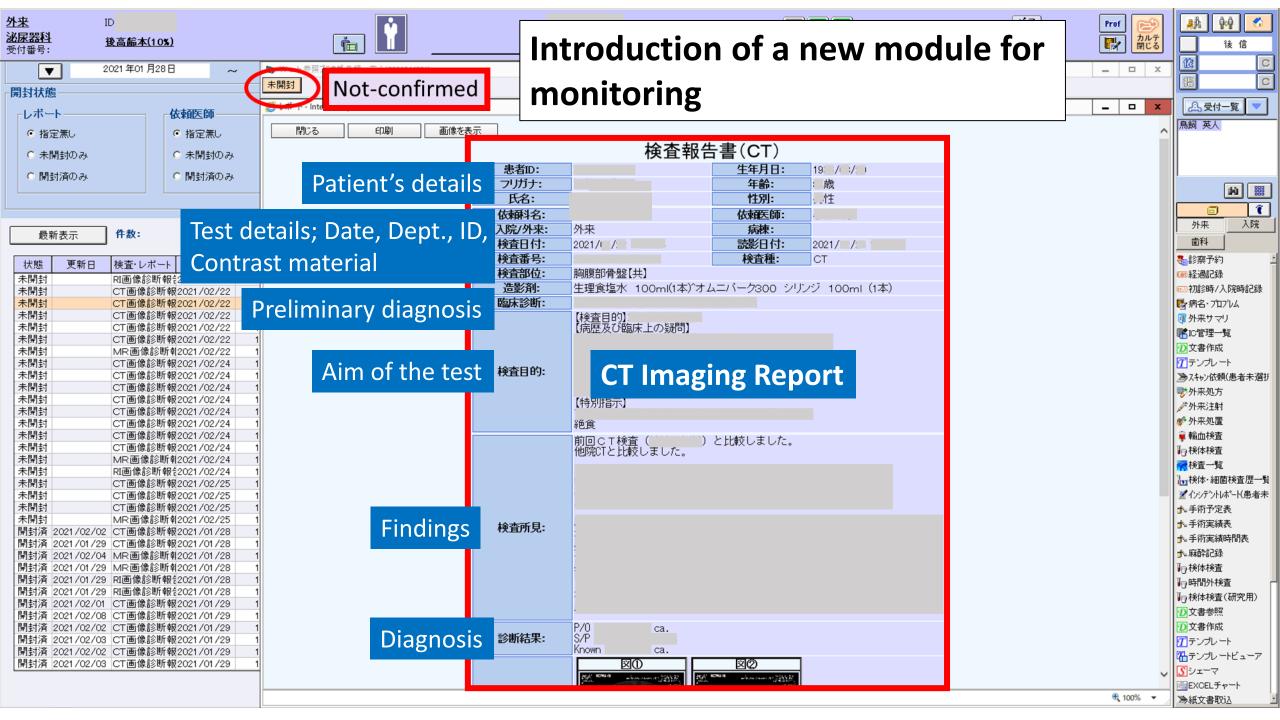
- Only 58% (25/43) institutions are installed with **notification system** on issuance of the imaging report.
- Physicians need to keep in mind that CT was ordered not to fail to refer to the report. This could cause an error if the memory is lost.

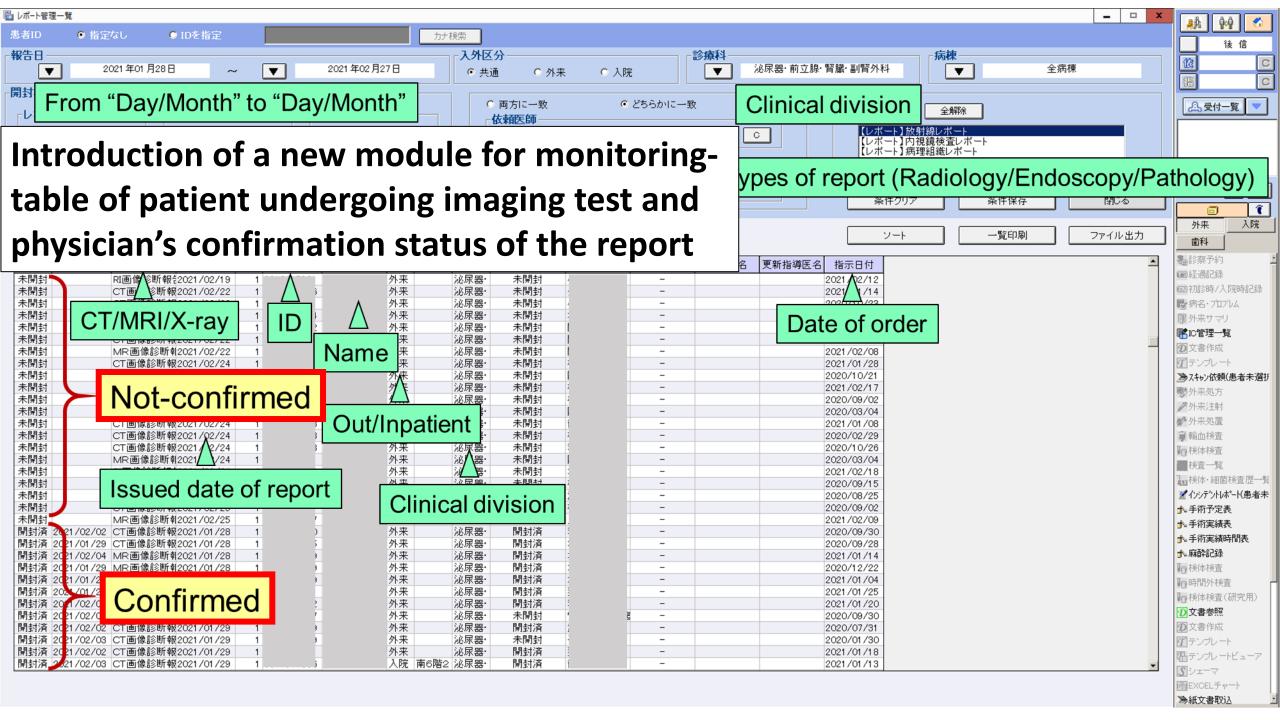


Notification system rapidly spread in the alliance during 2018-2020.

2017 JANUHA Report on "Patient Safety On-Site Visit Initiative" Report (Steering Committee, Presidents' Council of National University Hospital, http://www.univ-hosp.net/guide_cat_04_22.pdf)







Relation to general knowledge on diagnostic error of specific incident: "Failed Check of Imaging Report"

- Where in the diagnostic process failure occurred
 - a. Insufficient information gathering
 - b. Insufficient information integration
 - c. Failure to communication the explanation to the patent
- Causes of diagnostic error
 - a. Teamwork
 - b. Communication
 - c. Care coordination
 - d. Lack of training provider
 - e. Culture
 - f. Human factors and cognitive issues: Confirmation bias i.e. focusing only on findings of the physician's concern, Overconfidence i.e. Physician believes in their competency of interpretating radiological imaging better than that of radiologist.



Case 3: Delayed Diagnosis of **Fetal Distress** - National level /No-fault Compensation

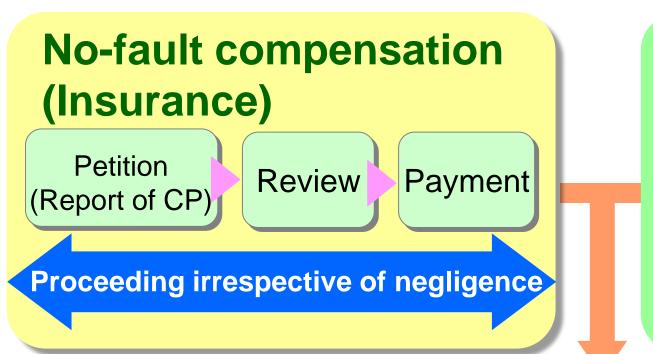


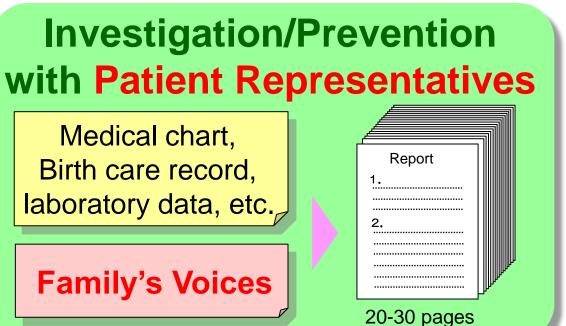






No-fault compensation/investigation/prevention system for cerebral palsy, 2009~)





Prevention, early settlement of conflicts and Improvement of quality

"Step-wise disciplinary action" to individual facility for quality improvement on condition of anonymity

Procedure which needs improvement is clearly mentioned in the Investigative report.

Report of Case A urged to improve a specific procedure

Report of Case B also urged same or similar procedure for improvement.

- i. Issue an "Instruction letter*" to urge facility in question for improvement.
- ii. Request for returning "*Improvement* report" in which the facility describes implementation of improvement plan in detail.
 - *One hundred and thirty-five instruction letters were issued to childbirth facilities* (Statistics during Jan, 2009 May, 2023).

Most frequent comments were made on Fetal Heart Rate (FHR) monitoring interpretation.

Japan Obstetric Compensation System for Cerebral Palsy

2020 New scheme for educating childbirth facility

JQ /
Investigative
committee



Issue Instruction letter

Recommendation of on-site technical advice by **JAOG**

Consent to share data on the institution and the CP with JAOG





Provision of data

Report on details of education/instruction



On-site visit for technical advice

*JAOG: Japan Association of Obstetricians and Gynecologists

Japan Obstetric Compensation System for Cerebral Palsy

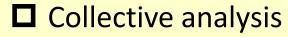
Workflow to publish "Prevention report"

Investigation committee Report of "Individual case"

- Investigation
- **□**Cause
- Appraisal
- ☐Preventive measures

Prevention committee Report of

"Aggregated case reports"



■ Thematic analysis

■ Recommendations, etc.



- A) Report; Delivered both to **family** and **childbirth facility**
- B) Synthesized report; Posted on the web
- C) Report with identifiers deleted; Available only for research use through internal ethical process

- A) Delivered to **Childbirth facility, Scientific societies**, Government, etc. for education.
- B) Posted on the web open to the public

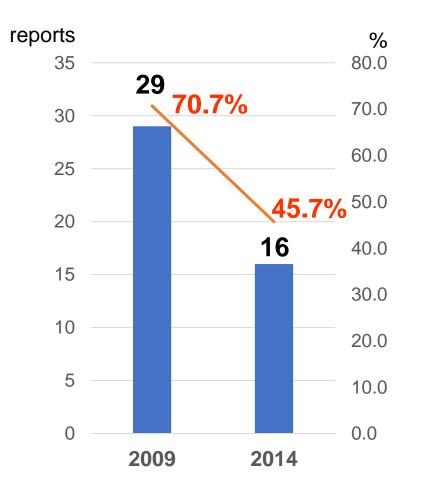


Improvement of specific practices between 2009 and 2014

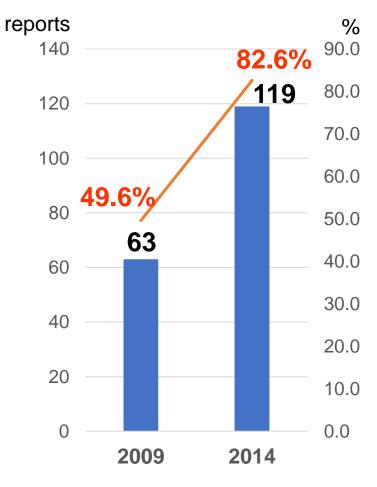
Comment on FHR monitoring for improvement



Excess administration of oxytocin



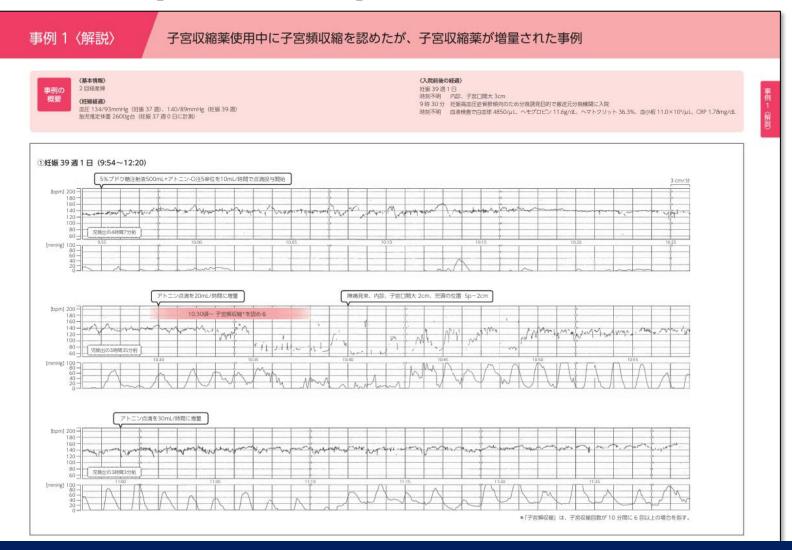
Mechanical ventilation within 1 min after birth





Educational material on CTG (Mar 2024)

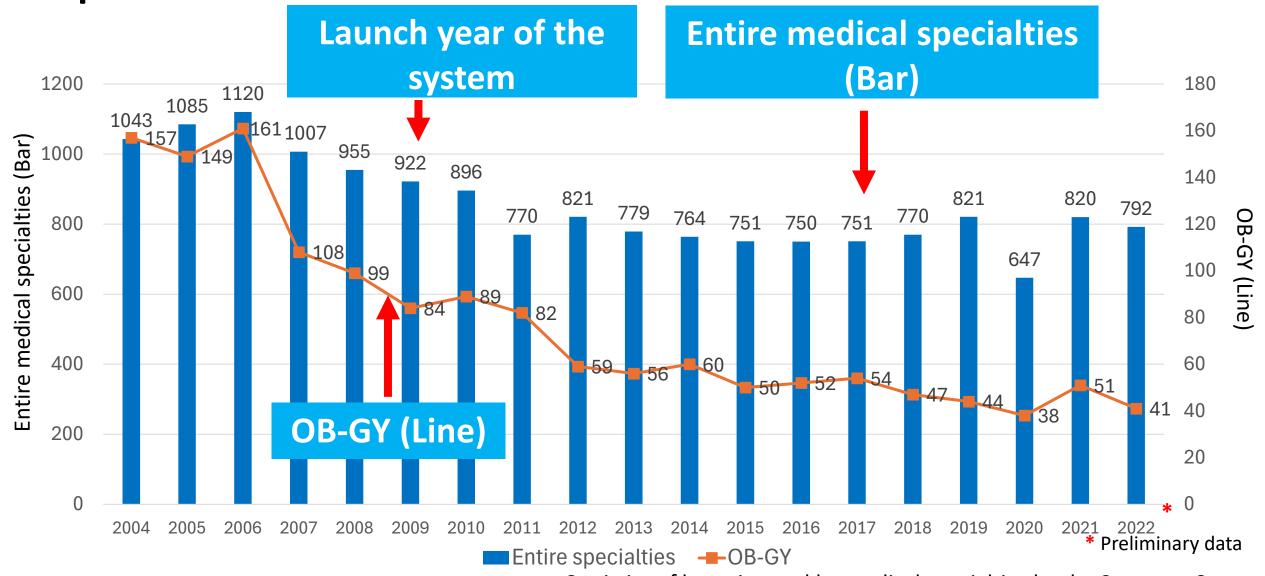
第14回産科医療補償制度再発防止に関する報告書 別冊 脳性麻痺事例の 胎児心拍数陣痛図紹介集 判読と対応を振り返る Cardiotocogram (CTG) pattern on cerebral palsy 2024年3月 公益財団法人 日本医療機能評価機構 Japan Council for Quality Health Care



The booklet is shared among academic/professional societies.



Impact on lawsuit statistics on OB-GY



Statistics of lawsuit trend by medical specialties by the Supreme Court.

Relation to general knowledge on diagnostic error of specific incident: "Fetal Distress"

- Where in the diagnostic process failure occurred
 - a. Failure in information integration
 - b. Failure in information interpretation
- Causes of diagnostic error
 - a. Teamwork
 - b. Training of healthcare provider
 - c. Availability of health informatics resources
 - d. Human factors and cognitive issues: Premature closure, Confirmation bias





Diagnostic error reduction

The committee concluded that a sole focus on diagnostic error reduction will not achieve the extensive change necessary; a broader focus on improving diagnosis is warranted.





Develop a Reporting Environment and Medical Liability System That Facilitates Improved Diagnosis by Learning from Diagnostic Errors and Near Misses

Reporting

- Conducting analyses of diagnostic errors, near misses, and adverse events presents the best opportunity to learn from such experiences and implement changes to improve diagnosis.
- There is a need for safe environments, without the threat of legal discovery or disciplinary action, to analyze and learn from these events.





Develop a Reporting Environment and Medical Liability System That Facilitates Improved Diagnosis by Learning from Diagnostic Errors and Near Misses

Medical Liability

- The core functions of medical liability are to compensate negligently injured patients and to promote quality by encouraging clinicians and organizations to avoid medical errors.
- The current approach for resolving medical liability claims sets up barriers to improvements in quality and patient safety.
- While medical liability is broader than diagnosis, diagnostic errors are the leading type of paid medical malpractice claims.





Develop a Reporting Environment and Medical **Liability System That Facilitates Improved** Diagnosis by Learning from Diagnostic Errors and Near Misses

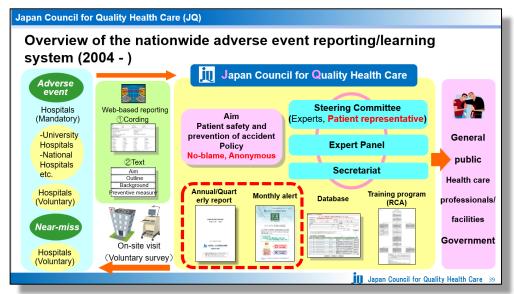
Medical Liability (cont'd)

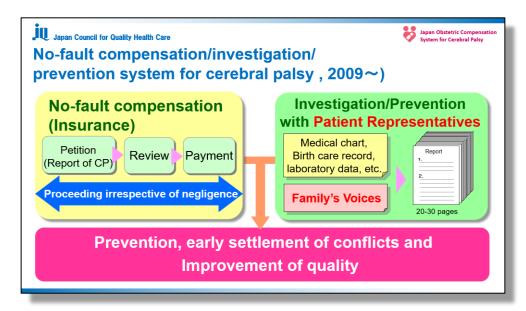
- Traditional medical liability reforms have not been effective in compensating negligently injured patients or deterring unsafe care.
- Alternative approaches are needed that enable patients and clinicians to become allies in making health care safer by encouraging transparency and disclosure of medical errors.
- These reforms can enable prompt and fair compensation for avoidable injuries, while turning errors into opportunities for learning and improvement.





Develop a Reporting Environment and Medical Liability System That Facilitates Improved Diagnosis by Learning from Diagnostic Errors and Near Misses





Reporting learning system gives robust foundation to address diagnostic safety.



Take away messages



- Wide focuses should be held on learning lessons from diagnostic errors for safer care at all levels.
- Diagnostic error takes place at every step of diagnostic process due to wide variety of reasons. Accordingly, comprehensive approach need to be taken.
- Cognitive error in diagnostic process should be highlighted and addressed through team-based approach.
- Experiences on diagnostic errors in my country such as HBV reactivation, failure to confirm imaging report, failed interpretation of FHR monitoring record and corrective actions at all levels deserve to spread in patient safety community and beyond through international approach.







Thanks, Questions?

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